

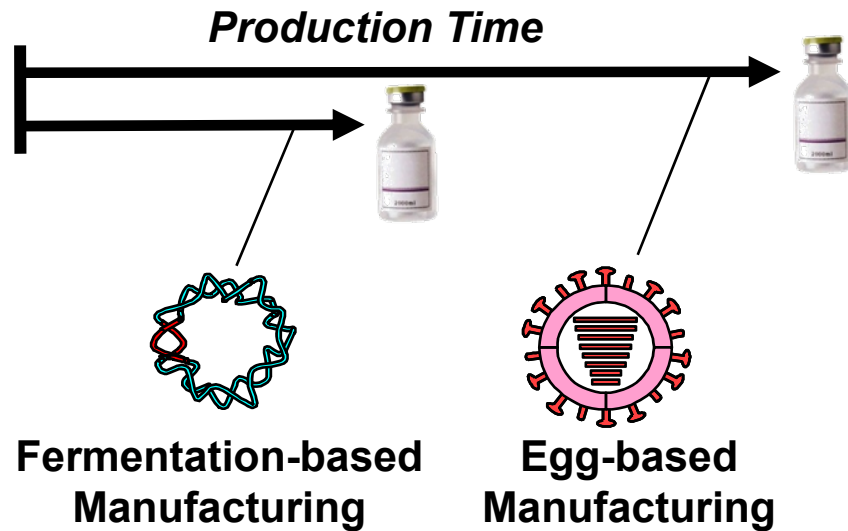


# **Development of Vaxfectin<sup>®</sup>- adjuvanted DNA Vaccines**

**Alain Rolland, Pharm.D., Ph.D.**  
***Senior Vice President, Product Development***

***June 04, 2008 - ECI Vaccines - Portugal***

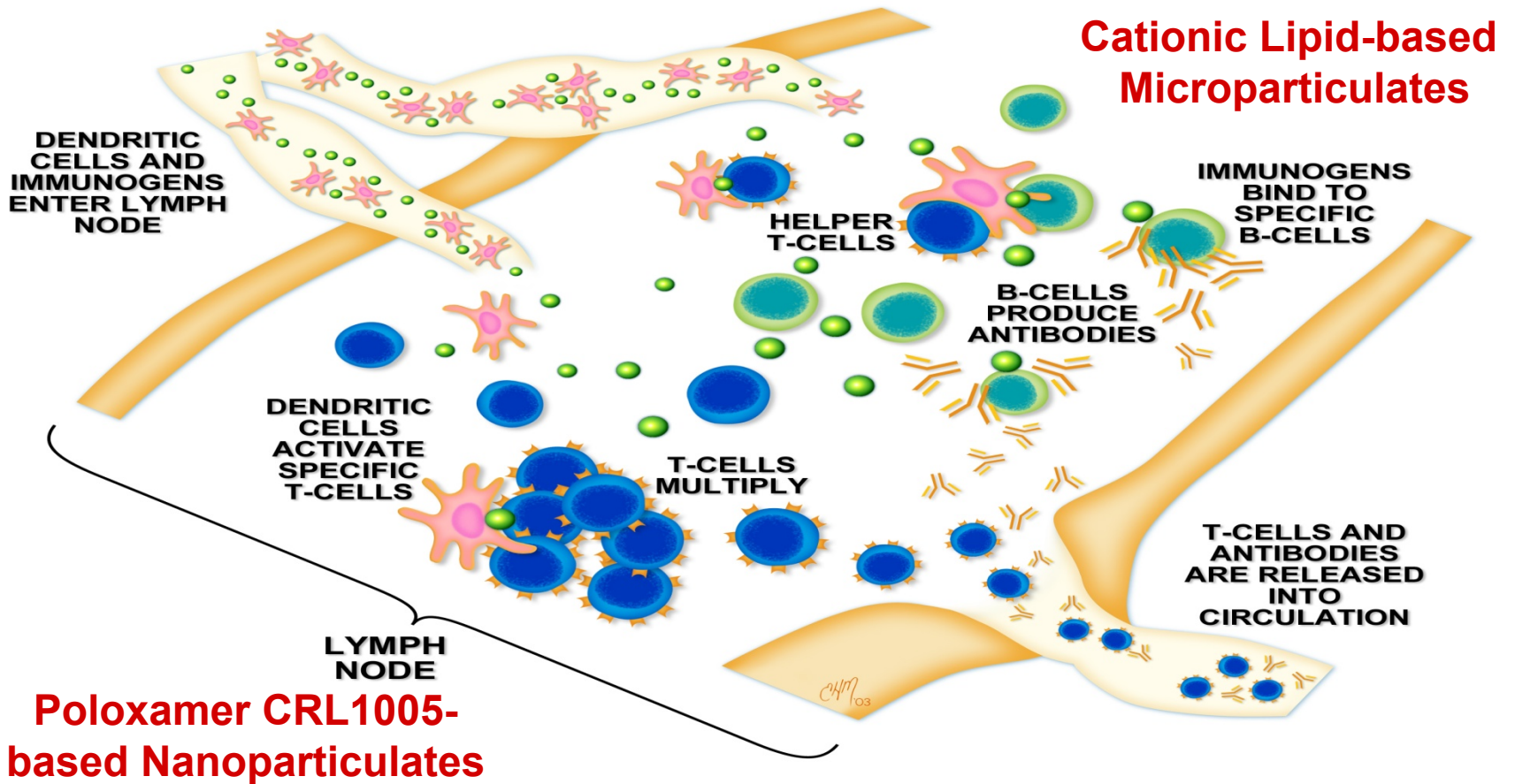
# Advantages of Plasmid DNA Vaccines



- Proven platform technology that induces humoral and cellular responses in animals
- 3 animal health vaccines approved
- Potential to prime strong memory responses
- Evidence of safety and immunogenicity in humans with and without adjuvants
- No infectious components
- Fermentation-based manufacturing
- Short manufacturing timeline
- Inherently stable

# Gene Delivery Systems

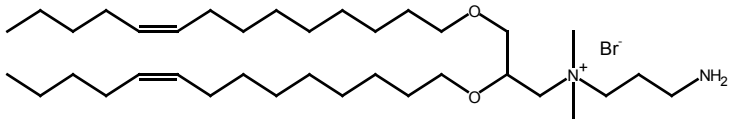
## *Improved Cellular and Humoral Response*



# Vaxfectin<sup>®</sup> Adjuvant

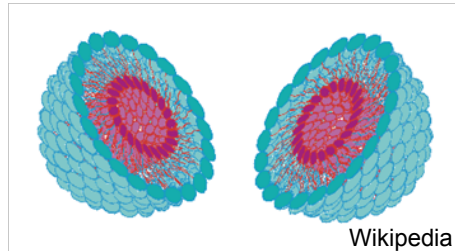
## Cationic Lipid

*(±)-N-(3-aminopropyl)-N,N-dimethyl-2,3-bis(cis-9-tetradeceneyloxy)-1-propanaminium bromide*

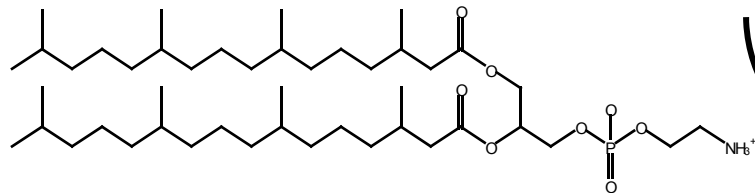


**GAP-DMORIE**

**DPyPE**



**Cationic Liposomes**



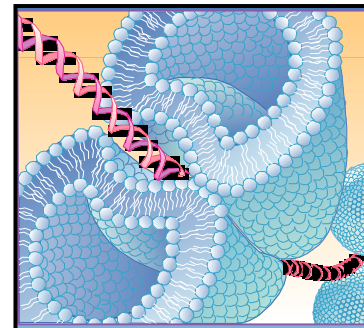
*1,2-diphytanoyl-sn-glycero-3-phosphoethanolamine*

**Co-Lipid**

**pDNA**

## Vaxfectin<sup>®</sup> Profile

- Two-lipid mixture
- Patented technology
- Dose sparing with DNA and protein-based vaccines
- Scalable cGMP manufacturing
- Simple formulation



**pDNA/lipid  
Complex**

# Vaxfectin<sup>®</sup> References

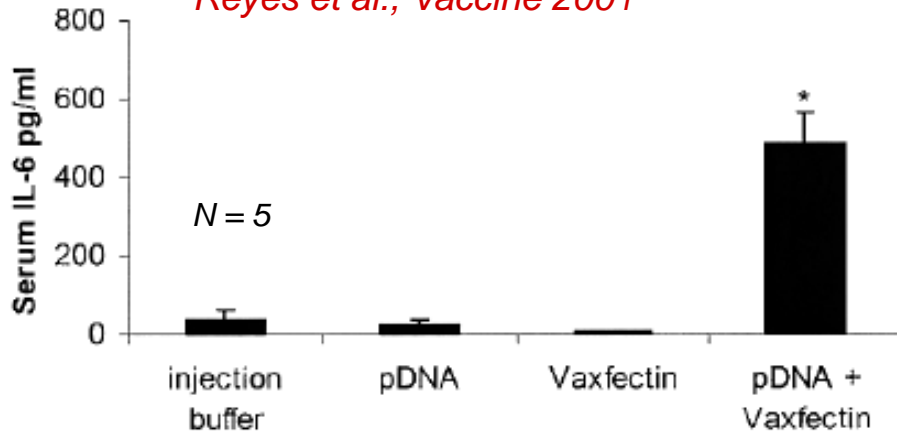
## *Enhanced Responses*

<b>Antigen</b>	<b>Species</b>	<b>Immunogenicity</b>	<b>Reference</b>
Influenza NP	Mice Rabbits	↑ NP Ab titers 20X ↑ NP Ab titers 50X	Hartikka, <i>Vaccine</i> 19:1911; 2001
NP and various other antigens	Mice	↑ Ab titers 3-10X	Reyes, <i>Vaccine</i> 19: 3778; 2001
Tuberculosis Ag85	Mice	↑ Ag85 Ab titers 3-10X	D'Souza, <i>Inf Imm</i> 70: 3681; 2002
Influenza NP or hGH	Rats	↑ NP Ab titers and CTLs	Sankar, <i>Oral Dis</i> 8: 275; 2002
JEV prM/E	Mice	↑ Neut Ab titers 8X	Nukuzuma, <i>Vir Imm</i> 16: 183; 2003
HIV-2 Env	Mice	↑ Serum and mucosal Ab	Locher, <i>DNA Cell Biol</i> 23: 107; 2004
HIV-2 Env tat nef gag/pro	Baboons	Partial protection from HIV-2	Locher, <i>Vaccine</i> 22: 2261; 2004
Plasmodium yoelli CSP	Mice	↑ Ab titers, ELISPOT responses, protection	Sedegah, <i>Vaccine</i> 24: 1921; 2006
Rabies G protein	Mice Rabbits	Protective neutralizing titers sustained 120 days	Margalith, <i>Gen Vacc Ther</i> 4: 1; 2006
Tuberculosis Ag85	Mice	↑ Cytokines but not survival against MTB	Romano, <i>Vaccine</i> 24: 3353; 2006
Anthrax PA83	Rabbits	↑ Neut Ab and protection	Hermanson, <i>PNAS</i> 101: 13601; 2004
Anthrax PA83	Sheep	↑ Ab titers at 5 months vs rPA in Alhydrogel	Hahn, <i>Vaccine</i> 24: 4795; 2006
<b>Influenza HA, NP, M2</b>	Mice Mice/Ferrets	↑ # of IFN $\gamma$ T cells vs PBS-formulation Protection against H5N1 challenge	Jimenez, <i>Human Vaccines</i> ; 2007 Lalor et al., <i>JID</i> ; 2008

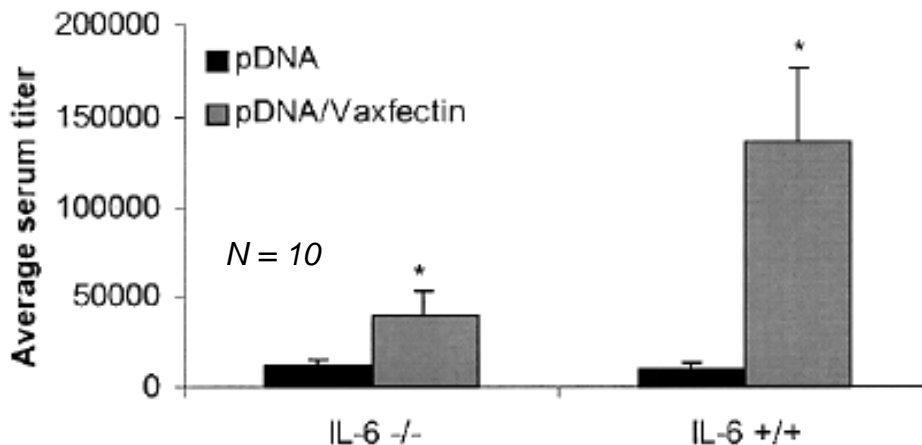
# Vaxfectin<sup>®</sup>-enhanced Antibody Responses

## *IL-6 Dependency*

*Reyes et al., Vaccine 2001*



- ↑ serum IL-6 at 4 hrs ( $p < 0.05$ )

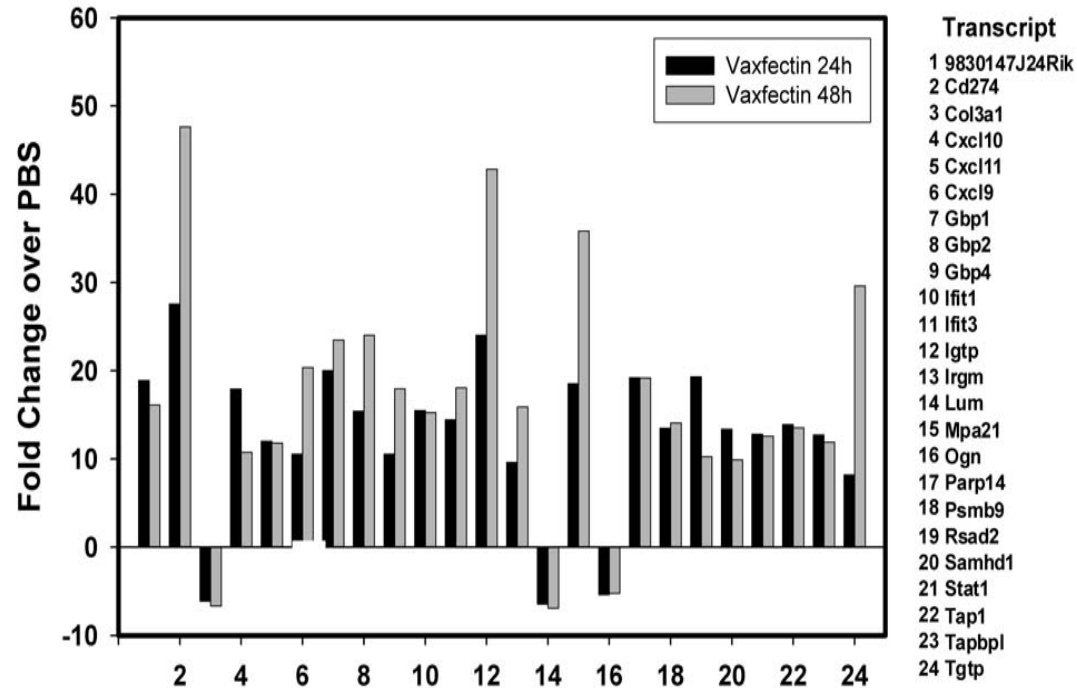
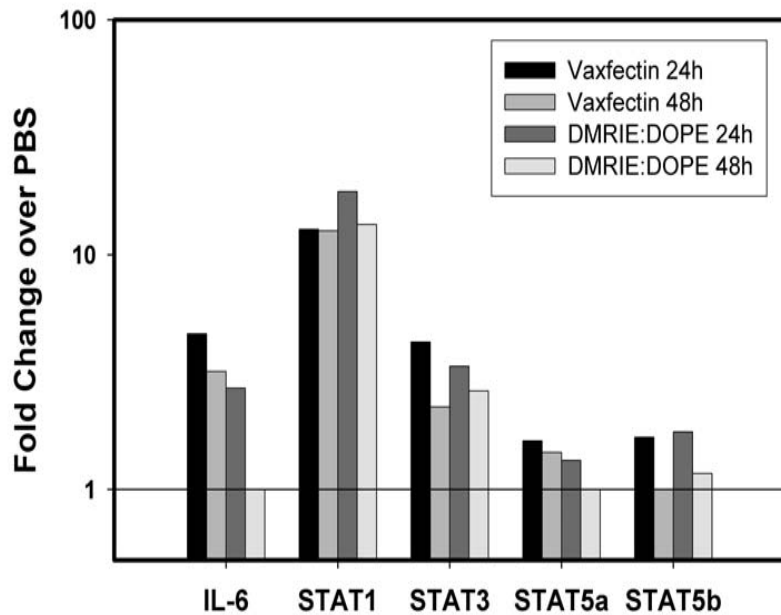


- ↓ serum anti-NP antibody titers in IL-6<sup>-/-</sup> mice ( $p = 0.02$ )

*IM injections in C57BL/6 mice (5  $\mu$ g NP pDNA in each rectus femoris) at 0 and 3 weeks; IgG titers (mean  $\pm$  SEM) at 6 weeks*

# Vaxfectin<sup>®</sup> Mechanism of Action

## *Induction of Immunostimulatory Gene Expression*



**Analysis of transcript levels (Affymetrix DNA Chip: 39,000 transcript represented) in mouse muscles (N=3) at 24 and 48h after IM injection of cationic lipid (Vaxfectin<sup>®</sup> or DMRIE:DOPE)-formulated VR6365 pDNA (Fold-increase over injected VR6365/PBS muscles with  $p \leq 0.05$ )**

# Pandemic Influenza DNA Vaccine Strategy

**Goal:** Develop a vaccine that is efficacious for current perceived threats that will also be beneficial in the event of a mismatched pandemic virus

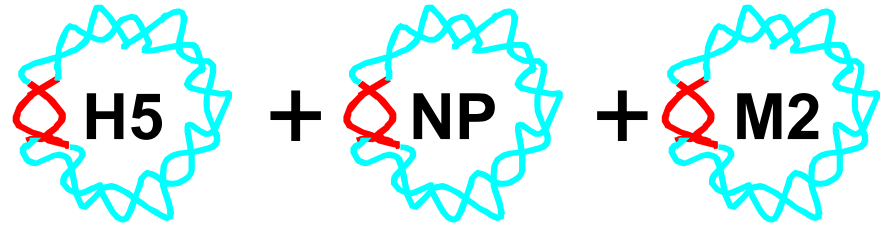
- Select HA component for current H5 threat
- Select highly conserved proteins to enable cross protection
- Create consensus sequences (>85% identical)
- Test to determine lead constructs and formulation
- Evaluate preclinical efficacy
- Evaluate preclinical safety
- Evaluate in the clinic



# Pandemic Influenza DNA Vaccine

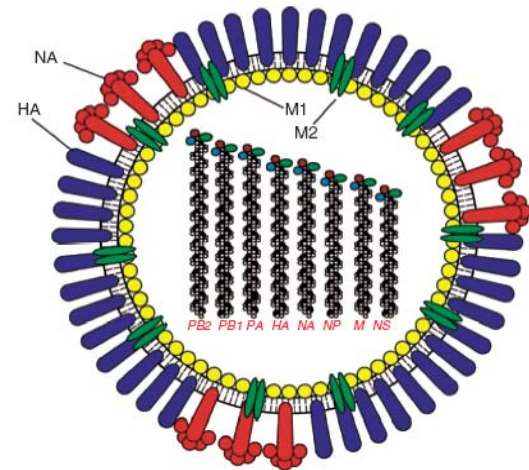
## Product profile

- H5 HA (A/Vietnam/1203/04)
- Conserved NP + M2 for cross-protection
- Vaxfectin<sup>®</sup> adjuvant
- 1 or 2 IM (possibly ID) injections
- 1 mg dose or less
- Needle or Biojector<sup>®</sup> 2000



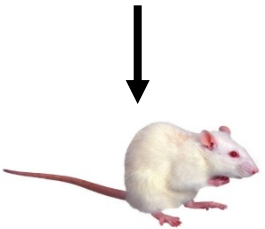
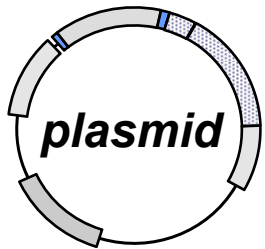
## Comprehensive immune responses

- Antibodies against HA and M2
- T cells against all encoded antigens
- One vaccine against any pandemic strain



# Nonclinical Development Pathway

Influenza genes  
(HA, M2, NP)



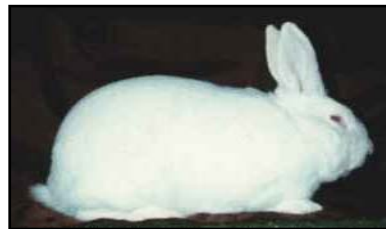
Gene and formulation  
screening studies



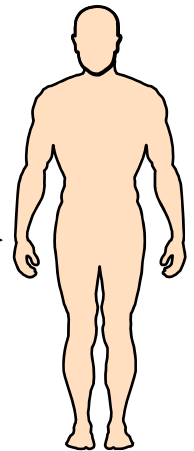
Mouse & ferret  
H5N1 challenge  
studies

cGMP vaccine manufacturing

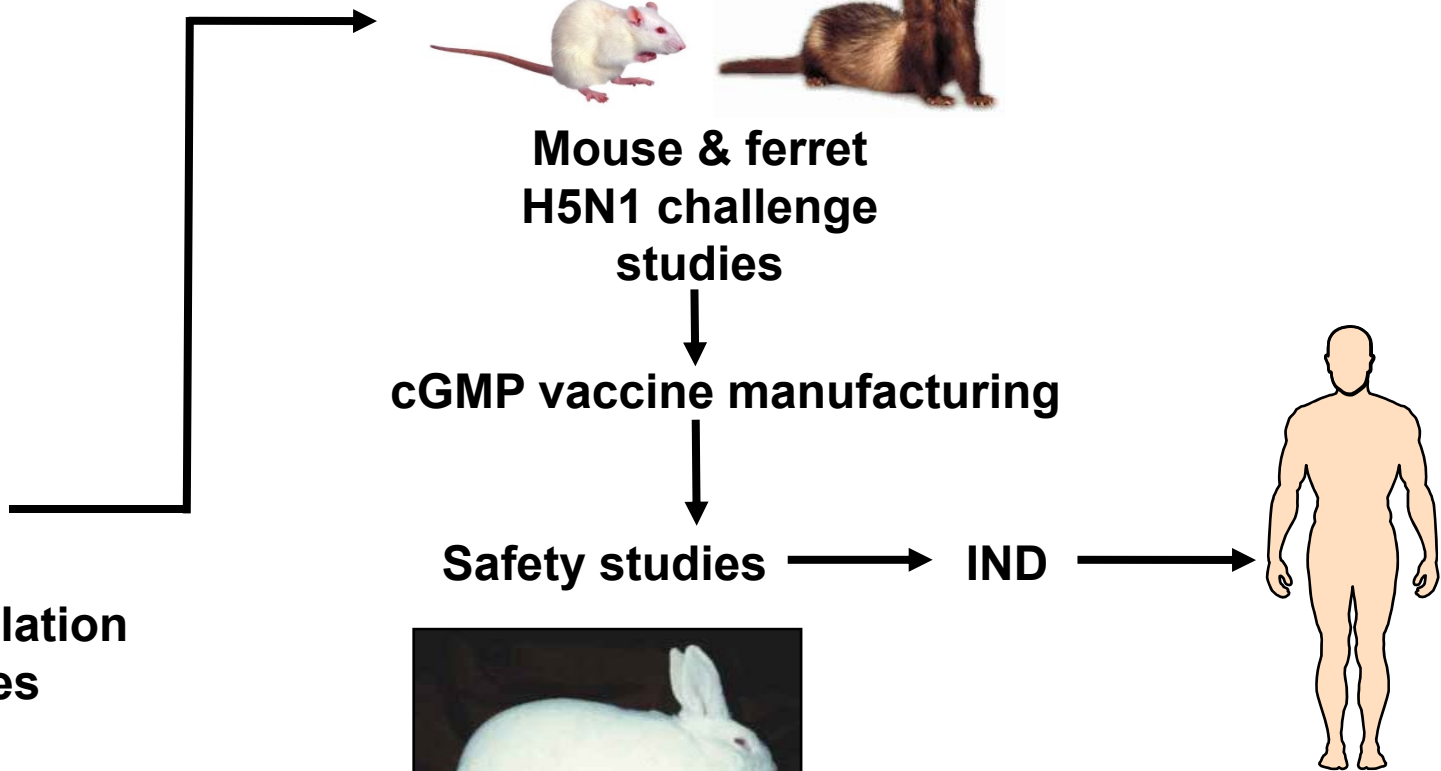
Safety studies



IND



Human clinical  
trials



# Mouse Challenge Model

## Vaccination Regimen



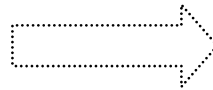
Week 0



Week 3

Route: Intramuscular  
+/-Formulations

N ≥12/group

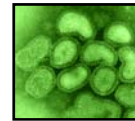


## Challenge Regimen



Week 6

LD<sub>90</sub>



Mouse-adapted A/HK/8/68 (H3N2) challenge  
or  
Mouse-adapted A/PR/8/34 (H1N1) challenge

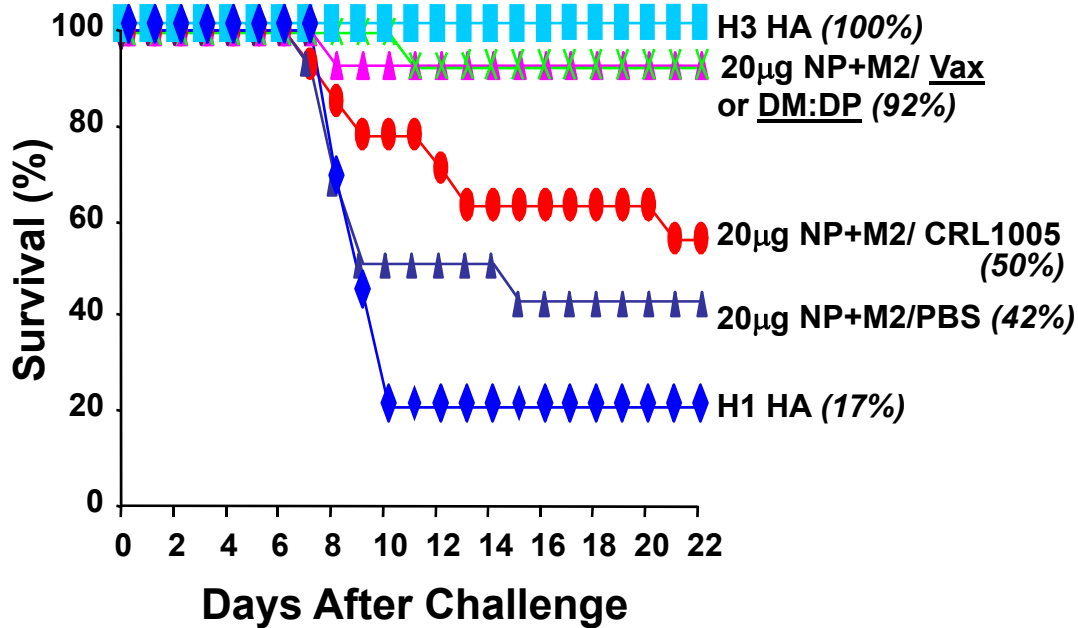
3 week  
assessment

Week 6-8  
*Survival*  
*Weight*

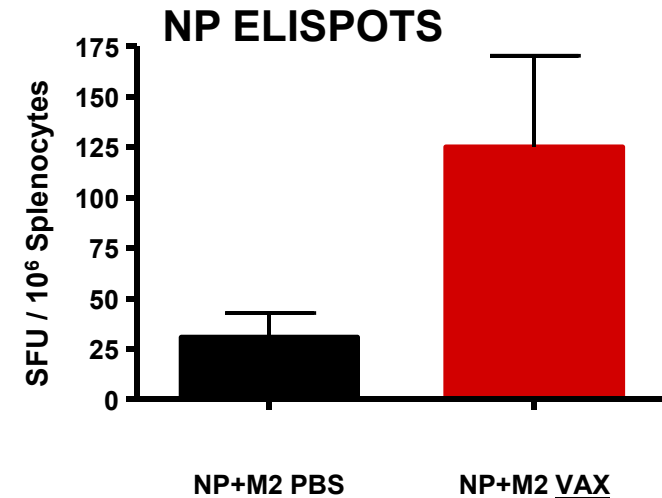
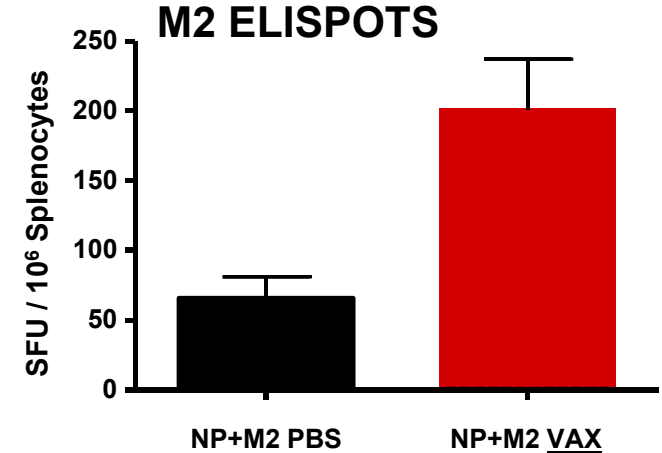
# Formulation Screening

## Influenza Challenge Model (H3N2)

### Cationic Lipids vs. Poloxamer vs. PBS

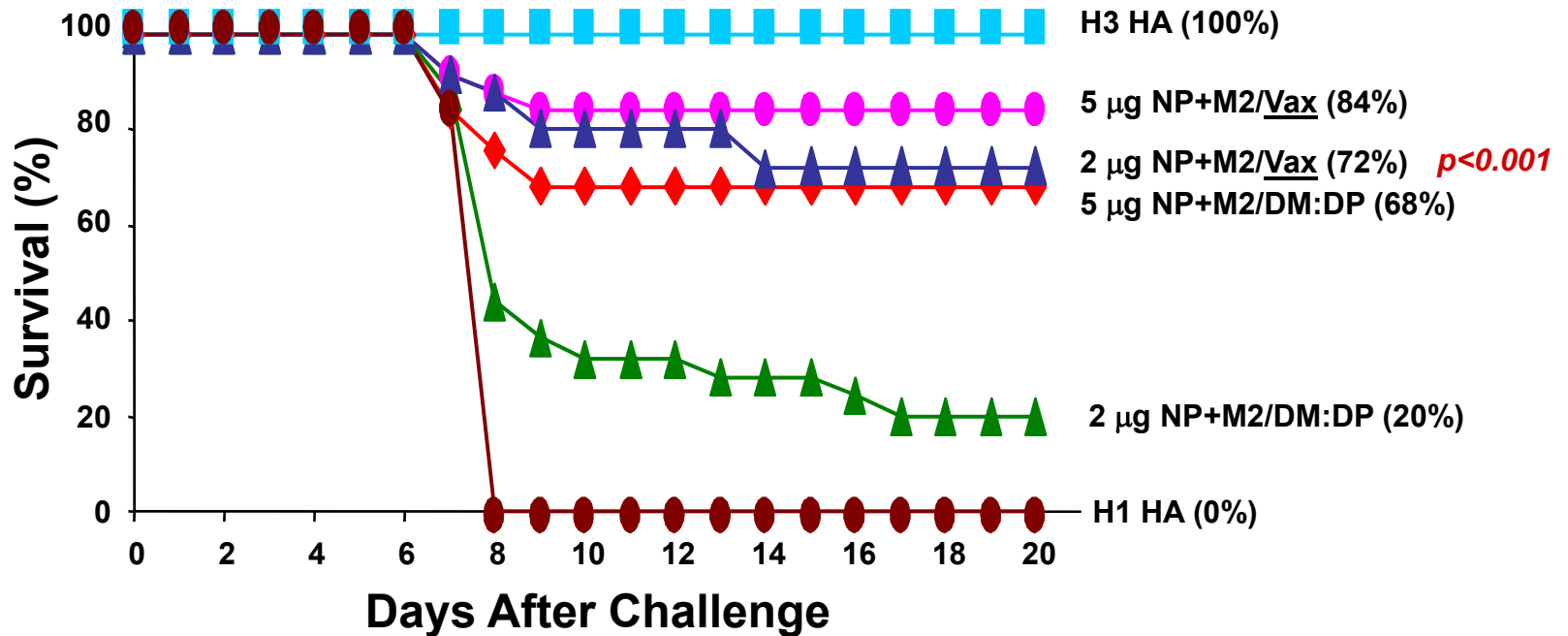


- Vaxfectin<sup>®</sup> and DMRIE:DOPE provide significant survival benefit over Poloxamer CRL1005 ( $p \leq 0.032$ )



# Effect of Cationic Lipid Formulations

## *Vaxfectin<sup>®</sup> vs. DMRIE:DOPE*



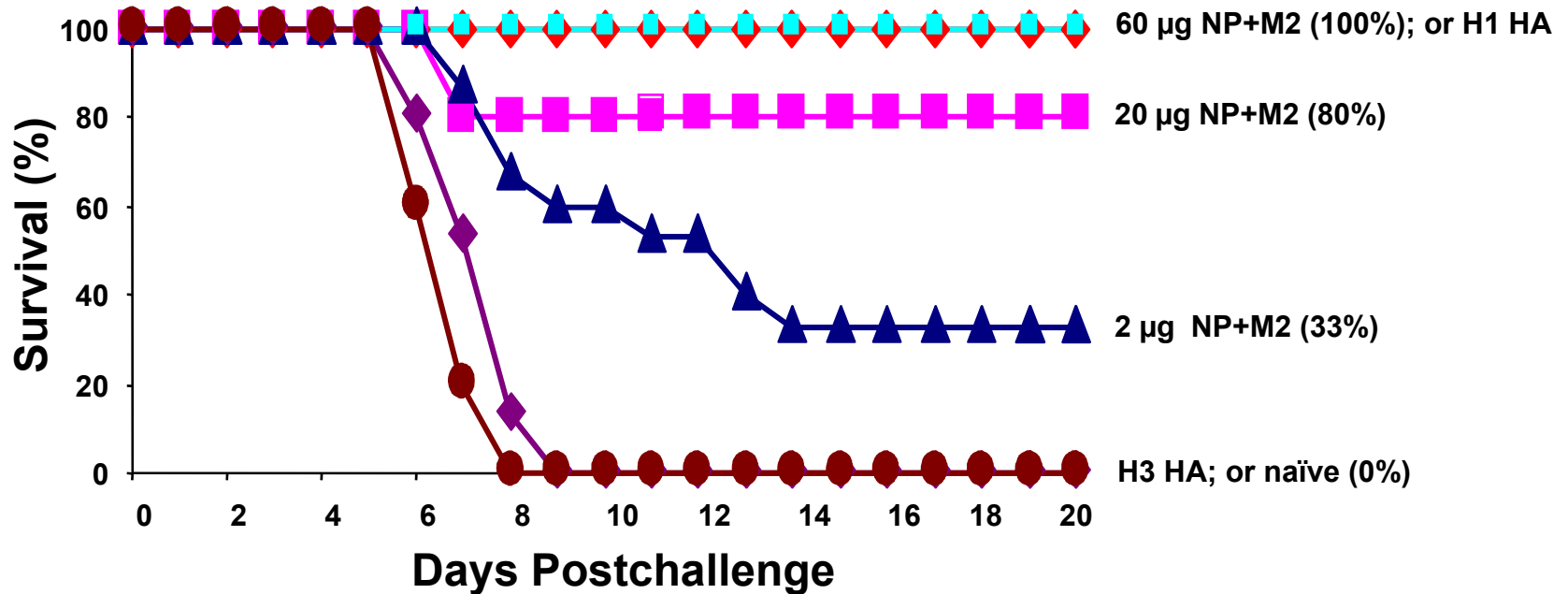
*Jimenez, Human Vaccines 2007*

- Vaxfectin<sup>®</sup> provides significant survival benefit over DMRIE:DOPE at low doses

# Cross-strain Protection

## *Influenza Challenge Model (H1N1)*

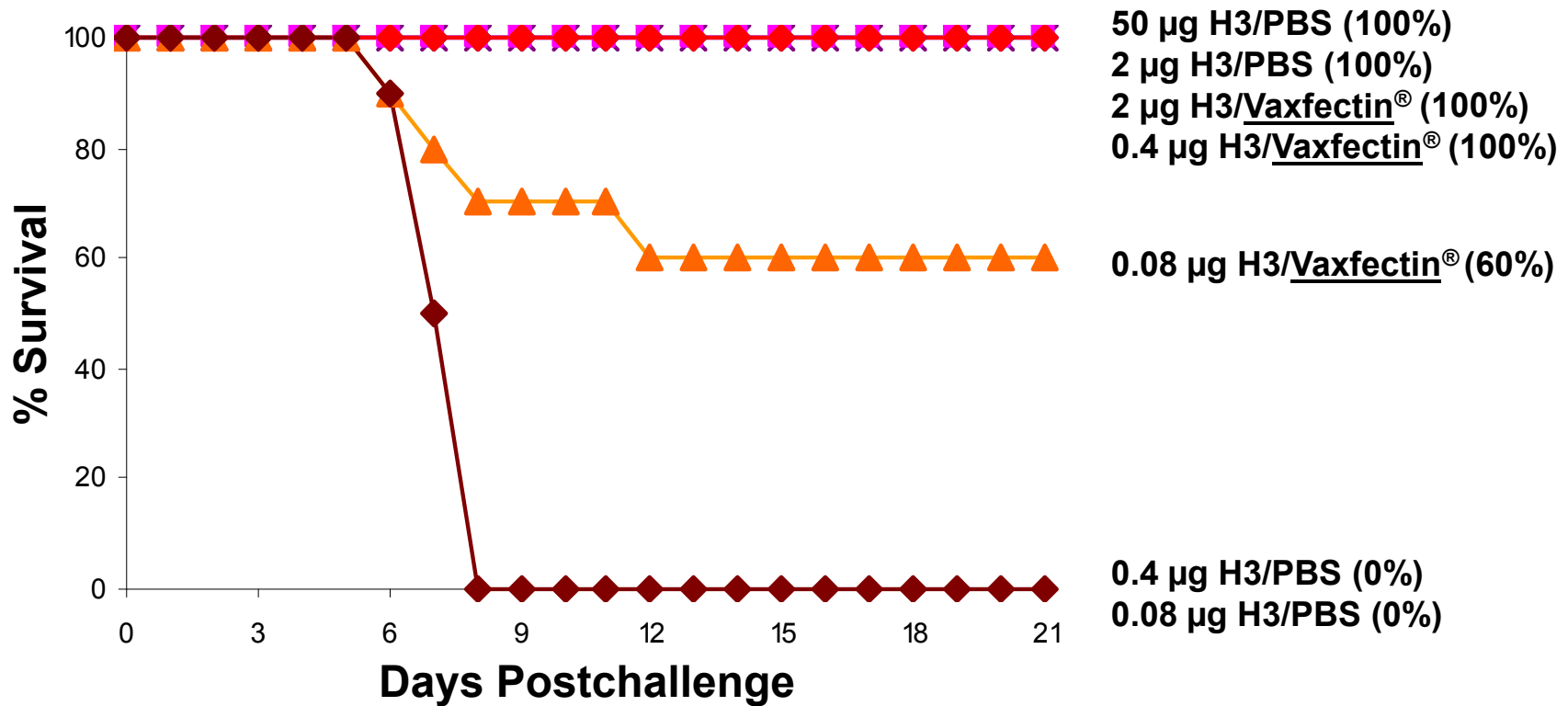
### ***Survival: Vaxfectin<sup>®</sup>-formulated NP + M2***



- Vaxfectin<sup>®</sup>-formulated NP+M2 provides cross strain protection against H1N1 challenge

# Dose-sparing Effect of Vaxfectin<sup>®</sup>

## Survival: Vaxfectin<sup>®</sup> vs. PBS at low doses

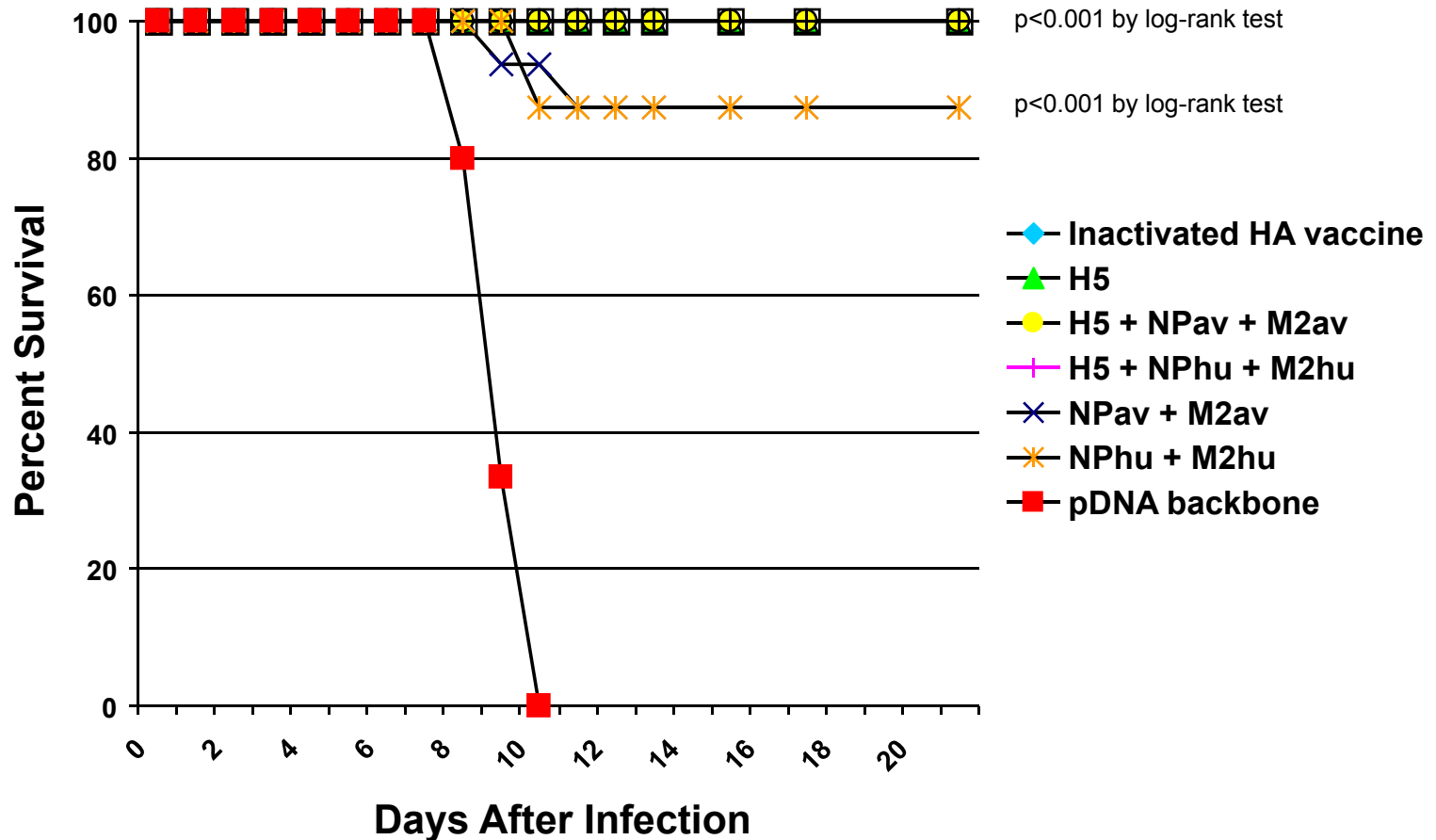


- H3 HA formulated with Vaxfectin<sup>®</sup> protects against H3N2 challenge at a single 80 nanogram dose ( $p=0.0042$ )

# DNA-based Pandemic Influenza Vaccine

## Mouse H5N1 Challenge Study - Survival

Collaboration with Dr. Richard Webby at St Jude Children's Research Hospital



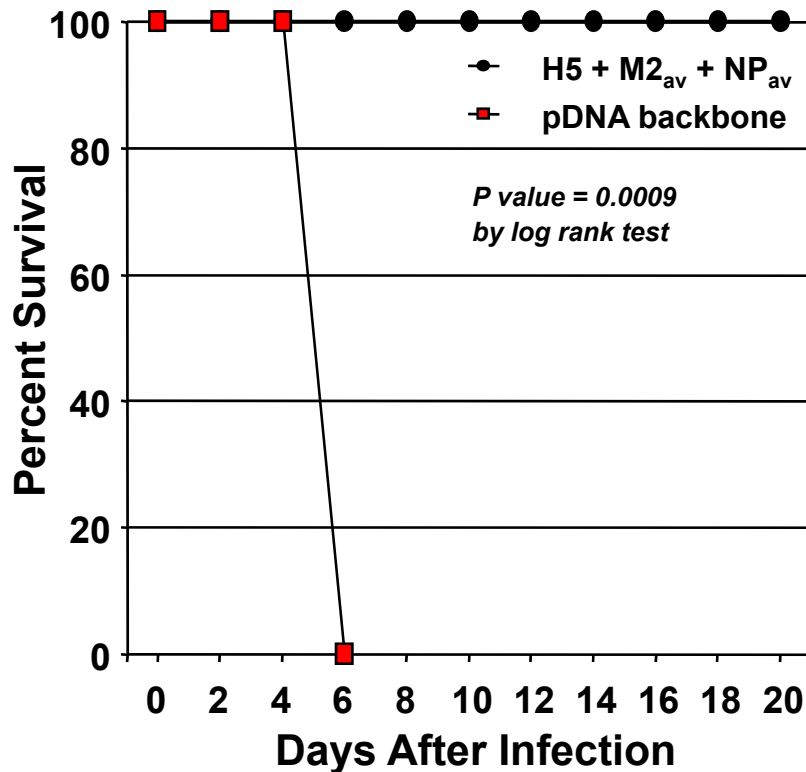
BALB/c mice ( $n = 16$  / group) vaccinated at Days 0, 21 with  $33 \mu\text{g}$  each Vaxfectin<sup>®</sup>-formulated pDNA or inactivated H5N1 vaccine ( $15 \mu\text{g}$  HA); A/Vietnam/1203/04 challenge ( $100 \times \text{LD}_{50}$ ) at Day 42



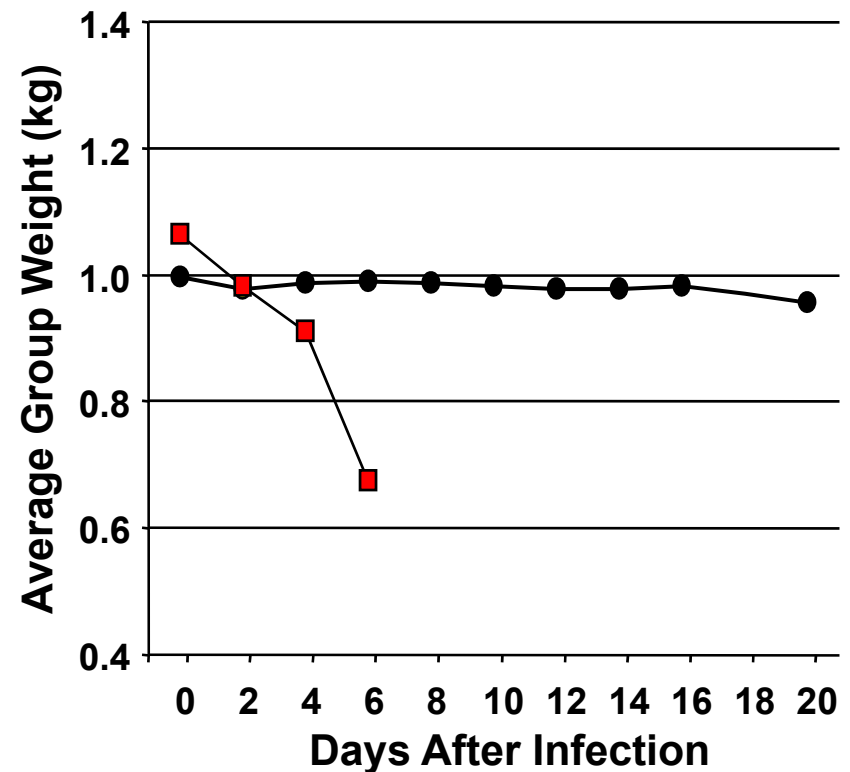
# DNA-based Pandemic Influenza Vaccine

## *Ferret H5N1 Challenge - Survival, Weight Loss*

*Lalor et al., JID 2008*



*Collaboration with Dr. Richard Webby  
St. Jude Children's Research Hospital*

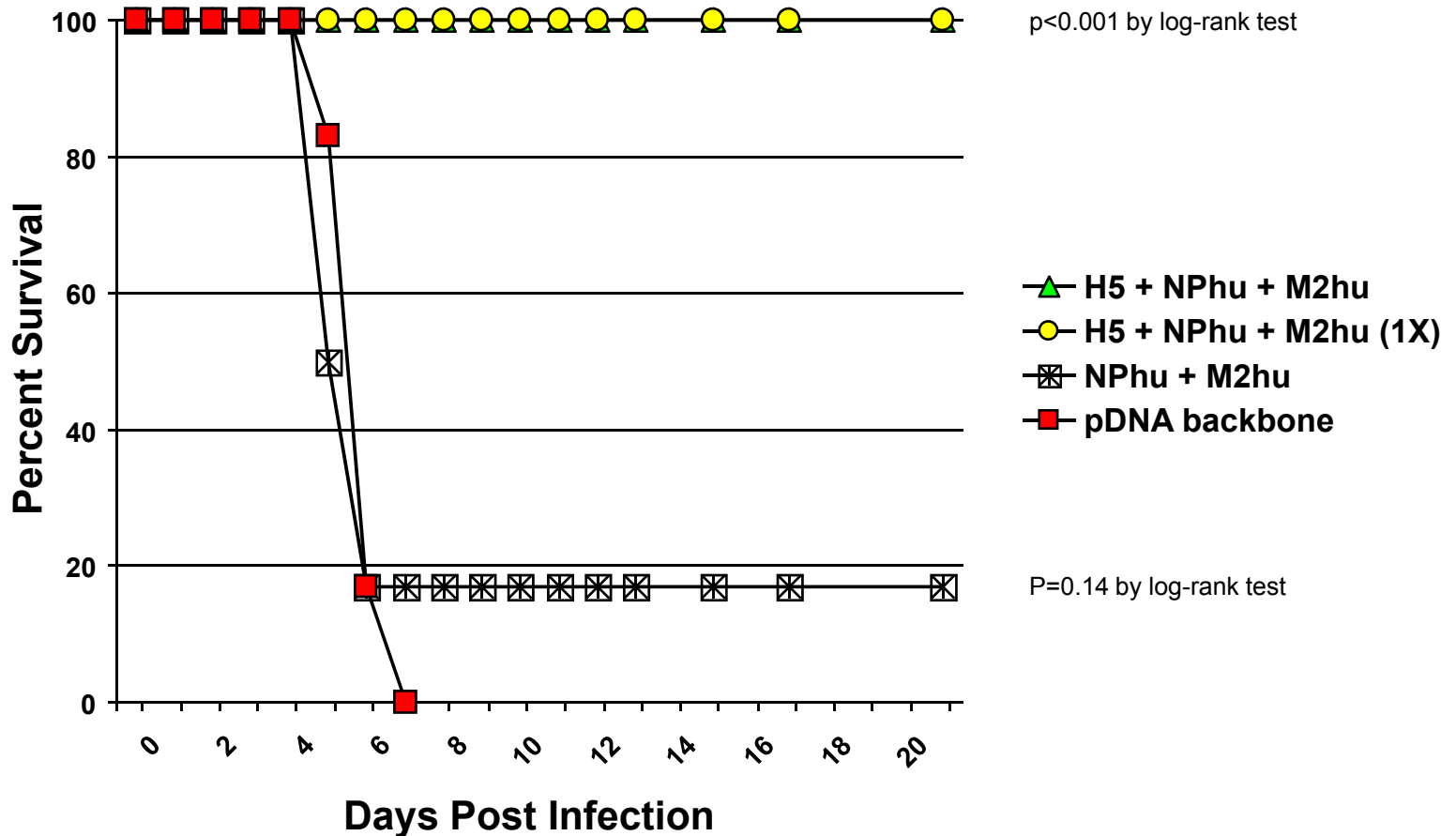


*Fitch ferrets (n = 6 / group) serologically H5N1 flu-free vaccinated at Days 0 and 21 with 1.0 mg total Vaxfectin<sup>®</sup>-formulated pDNA; A/Vietnam/1203/04 challenge (100 x LD<sub>50</sub>) at Day 42*

# DNA-based Pandemic Influenza Vaccine

## *Ferret H5N1 Challenge Study - Survival*

Collaboration with Dr. Richard Webby at St Jude Children's Research Hospital

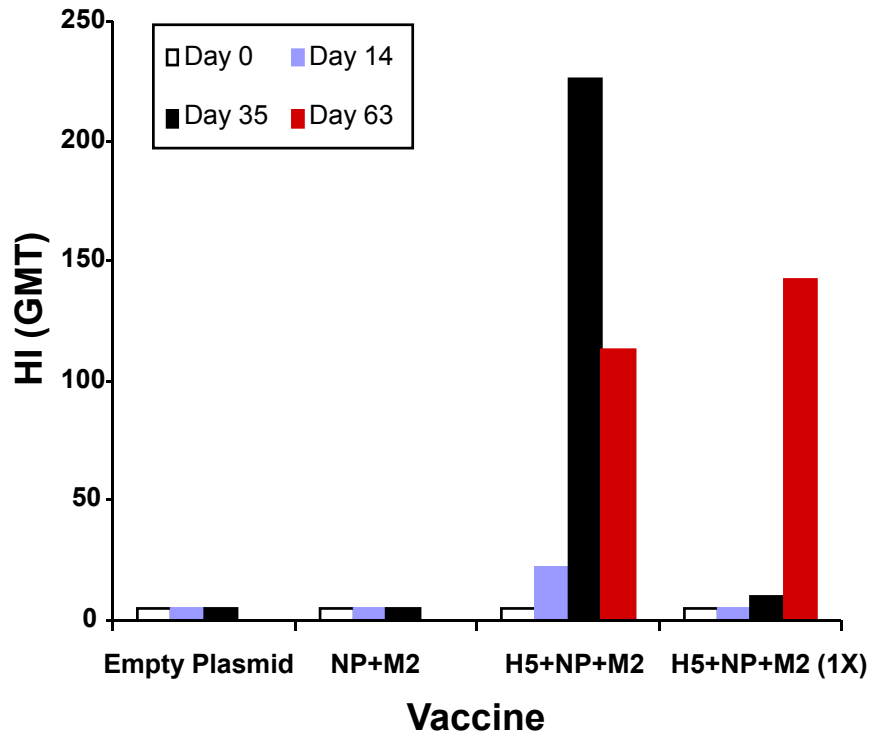


Fitch ferrets (n = 6 / group) serologically H5N1 flu-free vaccinated at Days 0 and 21 or Day 21 only (1X) with 0.3 mg each Vaxfectin<sup>®</sup>-formulated pDNA; A/Vietnam/1203/04 challenge (100 x LD<sub>50</sub>) at Day 42

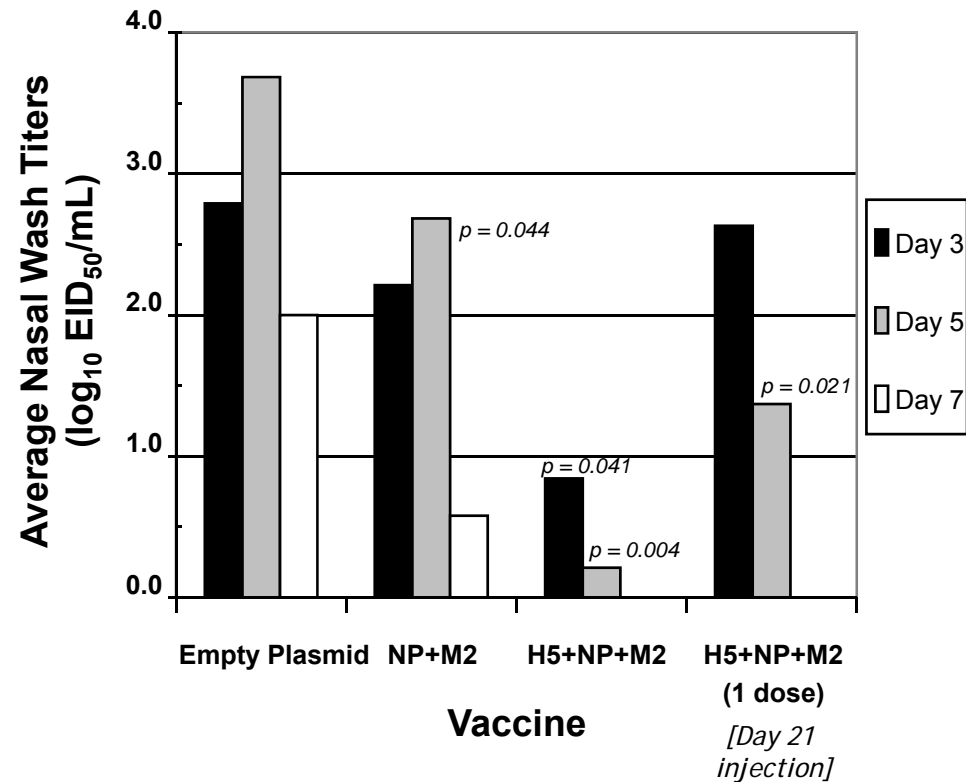
# DNA-based Pandemic Influenza Vaccine

## *Ferret H5N1 Challenge Study*

### HI Titers



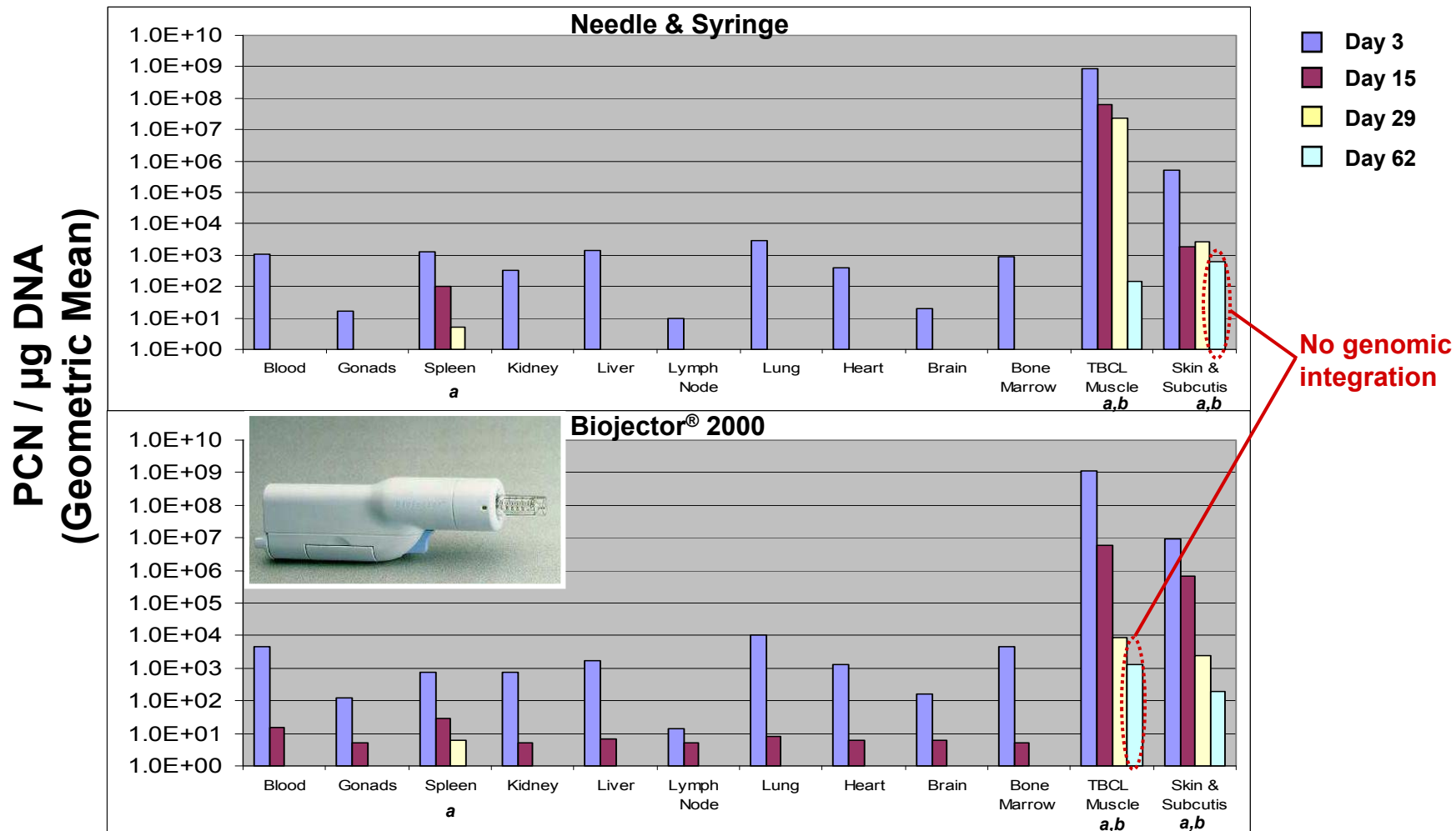
### Nasal Wash Virus Titers



HI titers in vaccinated ferrets prior to vaccination (Day 0), on Days 14 and 35, and 3 weeks after infection with A/Vietnam/1203/04 (Day 63); virus titers in the upper respiratory tract of vaccinated and control ferrets measured from nasal washes collected on Days 3, 5, and 7 after infection

# Vaxfectin<sup>®</sup>-formulated Influenza Vaccine

## GLP Tissue Distribution in Rabbits



Single bilateral i.m. injection of 0.5 mg DNA/0.5 mL/muscle [ $\sim$ 28X human dose (mg/kg)]; 5 rabbits/sex/timepoint; PBS as negative control; qPCR of extracted DNA; only tissues analyzed at Day 29<sup>a</sup> and day 61<sup>b</sup>

# Vaxfectin<sup>®</sup>-formulated Influenza Vaccine

## *Phase 1 Clinical Trials*

- Double-blind placebo controlled study
- Dose escalation 0.1 to 1 mg total DNA
  - Vaxfectin<sup>®</sup>-formulated H5 + NP + M2 or H5 alone
  - Vaccinations IM on Days 0 and 21
  - Needle or Biojector<sup>®</sup> 2000
- 103 normal healthy adults (18-45 yrs)
  - 3 clinical sites: SNBL (Maryland), Stony Brook (New York), Accelovance (San Diego)
- Safety, tolerability, immunogenicity
  - Antibodies measured by HI and neutralizing assays
  - T-cell responses measured by ELISPOT assays

# Pandemic Influenza DNA Vaccine

## *Summary*

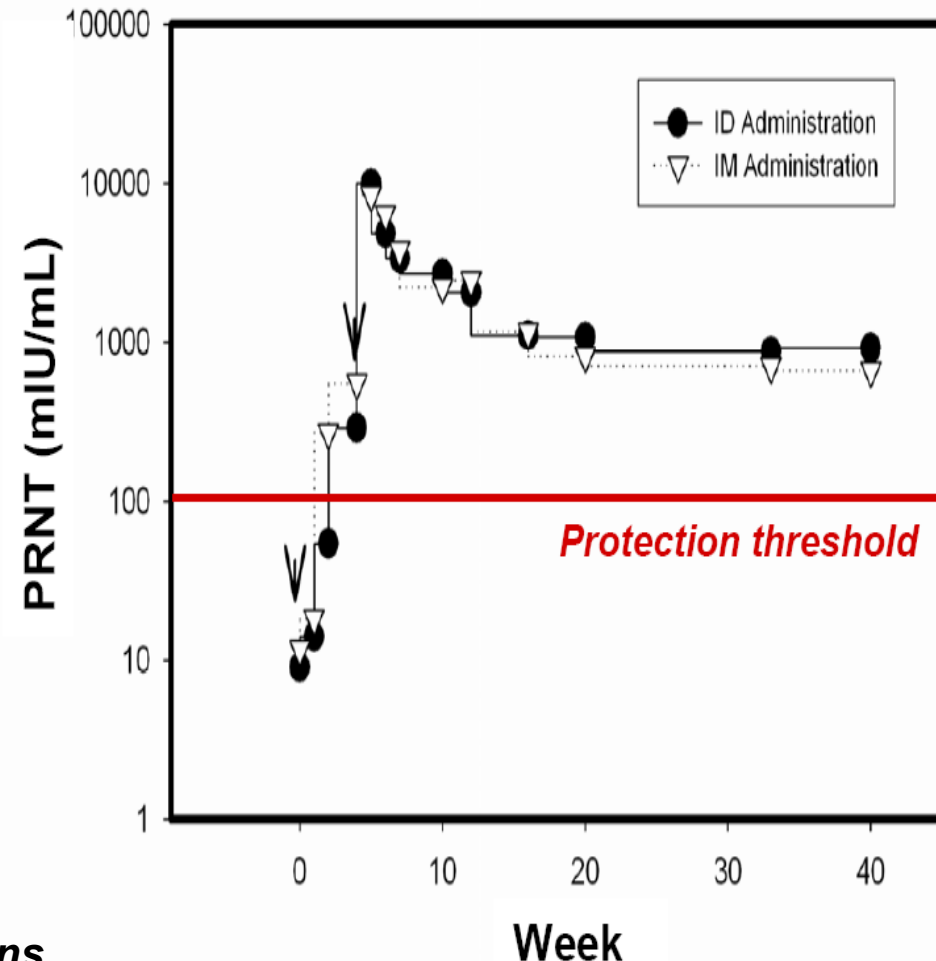
- Mouse studies at Vical
  - In the absence of HA, NP + M2 provides the best cross strain protection in mice
  - Vaxfectin<sup>®</sup> adjuvant provides dose-sparing advantage
- Mouse and ferret H5N1 studies at SJCRH
  - 100% protection in mice and ferrets with H5 + NP + M2
  - 1 dose vaccine protects ferrets against Vietnam H5N1 strain
  - High-level protection in mice with NP + M2 vaccine
- GLP safety studies
  - Rabbit repeat dose study demonstrates safety
  - Clearance over time with no evidence of integration
- Two ongoing clinical trials

# Vaxfectin<sup>®</sup>-formulated DNA Vaccine

## *Protection of Macaques against Measles*

- Juvenile rhesus macaques (2 yrs)
- H + F DNA on Days 0, 28
  - 0.5 mg ID (n= 5)
  - 1 mg IM (n = 5)
- Challenge at Week 55 with  $10^4$  TCID<sub>50</sub> of Bilthoven strain intratracheally
- No clinical signs of measles and no viremia in vaccinated animals
- Rash and viremia in controls
  
- Infant macaques (6-8 wks) - 2<sup>nd</sup> study
  - Challenge at 1 year
  - No clinical signs or viremia

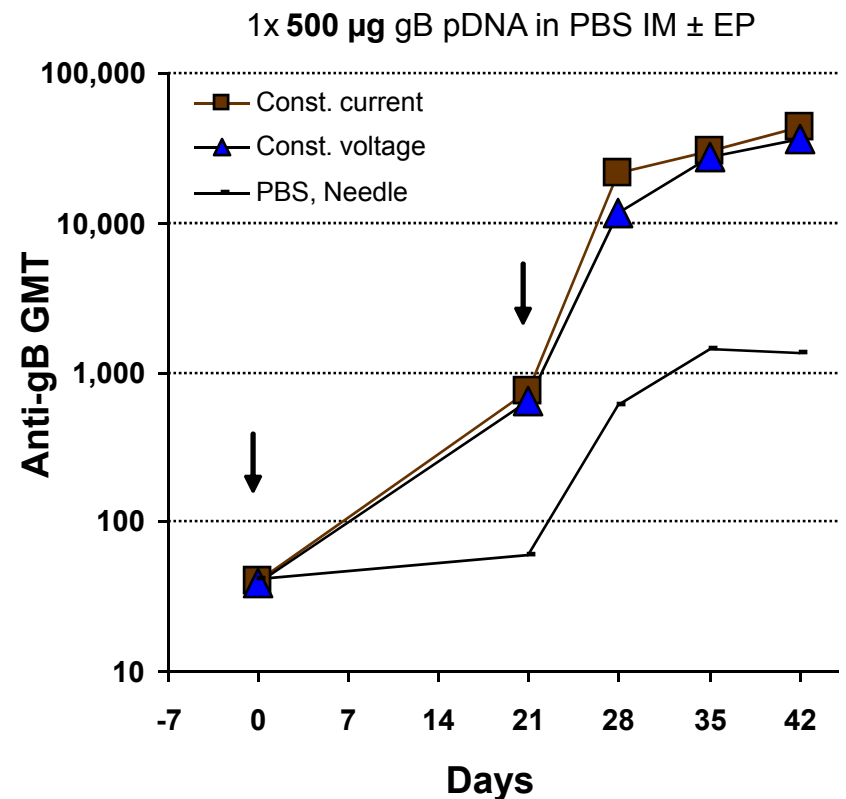
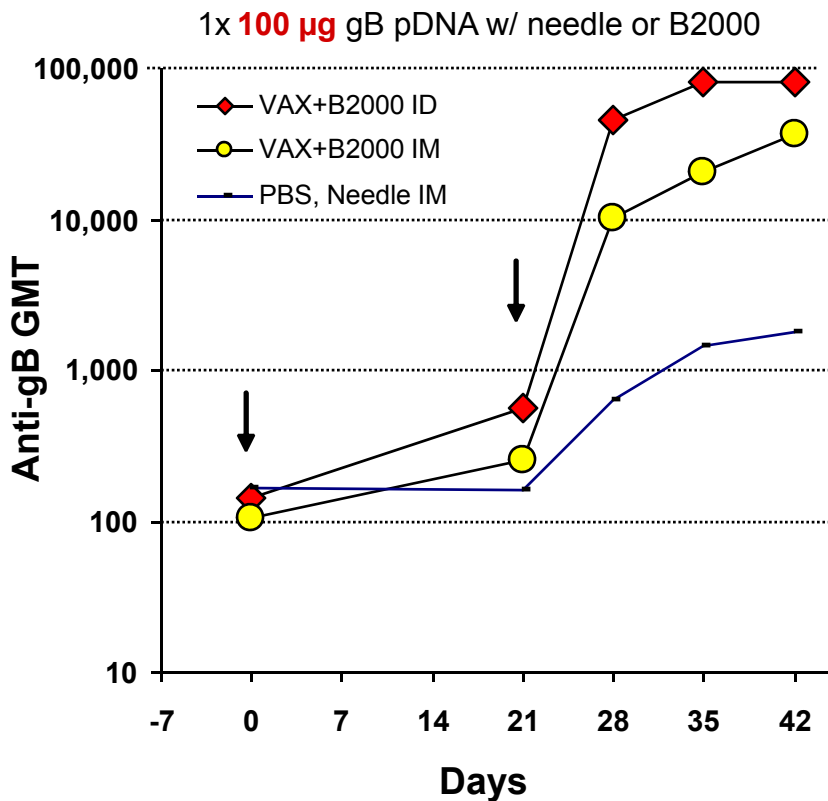
*Pan et al., Clinical and Vaccine Immunology (2008)*



***Collaboration with Dr. D. Griffin, Johns Hopkins***

# Needle-free Injection of Vaxfectin<sup>®</sup>-formulated DNA vs Electroporation

- IM or ID delivery of Vaxfectin<sup>®</sup>-formulated pDNA (hCMV gB) vaccine delivered with needle-free device resulted in anti-gB titers similar to those obtained with EP-assisted delivery (historical study)

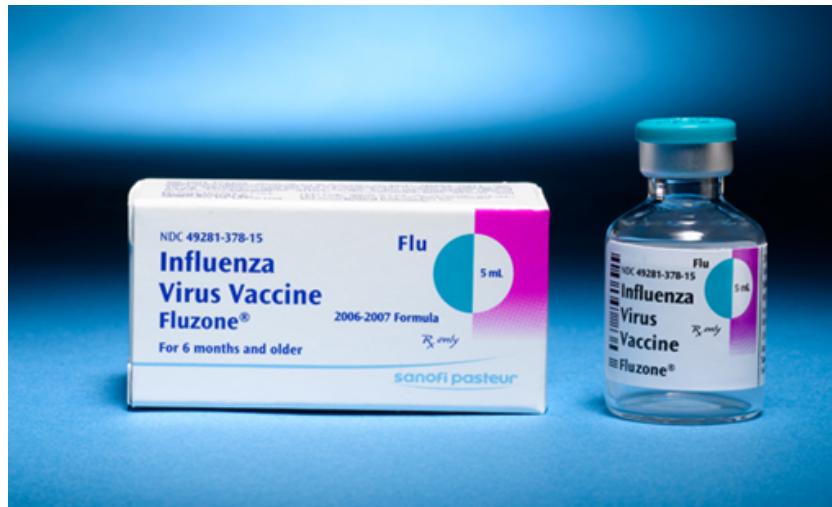




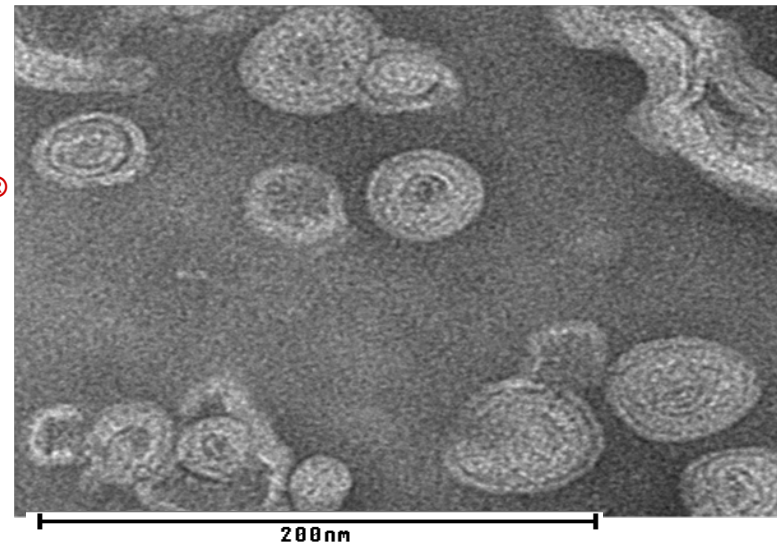
# Vaxfectin<sup>®</sup> as an Adjuvant for Protein-based Vaccines

## Fluzone<sup>®</sup>

- Split inactivated trivalent inactivated flu vaccine (TIV; from sanofi pasteur)
- 2006-07 formulation contains 15 µg of each HA (A/New Caledonia/20/99 [H1N1], A/Wisconsin/67/2005 [H3N2], and B/Malaysia/2506/2004) per 0.5 mL

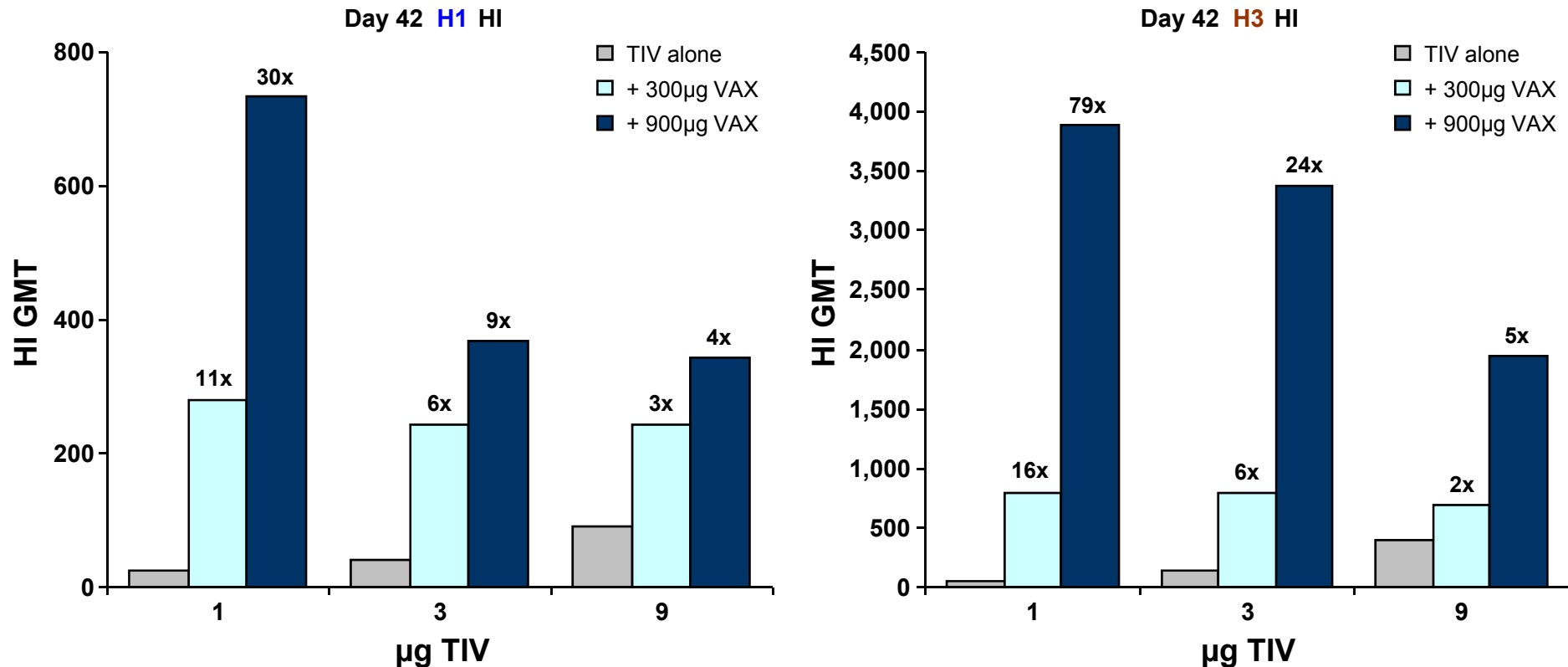


+ Vaxfectin<sup>®</sup>



# Vaxfectin<sup>®</sup> as Dose-sparing Adjuvant *Protein-based Influenza Vaccine*

- Vaxfectin<sup>®</sup> at any dose of TIV (Fluzone<sup>®</sup>) significantly ( $p < 0.001$ ) increased HI titers in mice compared to TIV alone
- Dose-sparing for protein vaccines ( $\geq 10x$ )

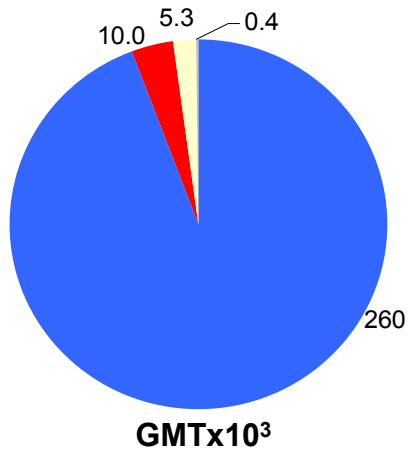


*BALB/c mice (n = 10 / group) injected IM with Vaxfectin<sup>®</sup>-formulated protein on Days 0 and 21*

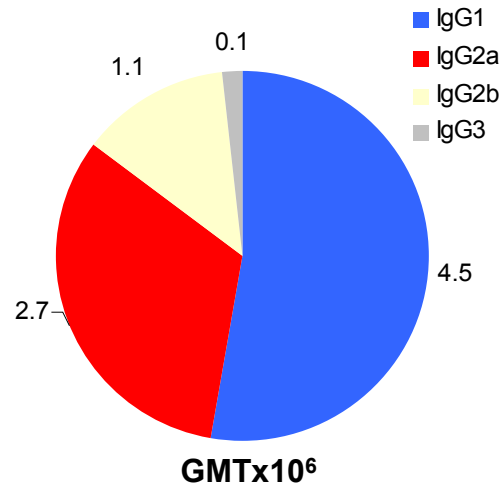
# Vaxfectin<sup>®</sup> as a Th1 Adjuvant

## Anti-TIV IgG Isotypes

0.1 µg TIV



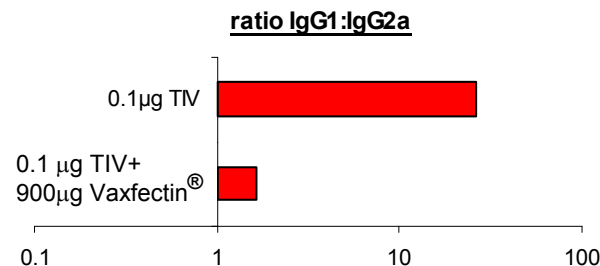
0.1µg TIV + 900 µg Vaxfectin<sup>®</sup>



### Conclusions:

- With 0.1 µg total TIV dose, IgG1 is the dominant isotype
- Vaxfectin<sup>®</sup> (900 µg) increased IgG2/3 titers more than IgG1 titers, resulting in a more balanced isotype distribution

	GMT x fold compared to 0.1µgTIV
	0.1µg TIV+ 900µg Vaxfectin <sup>®</sup>
IgG1	17x
IgG2a	274x
IgG2b	208x
IgG3	294x



# Acknowledgements

The Vical logo is displayed on a white section of a modern building's facade. The logo consists of the word "Vical" in a black, sans-serif font, with the letter "o" highlighted in red.

- **Vical's Product Discovery-Development Teams and Departments**
- **SJCRH and Johns Hopkins Researchers**
- **Clinical investigators**

## Grants

SBIR # 1R43AI065016-01

Biodefense # 1UC1AI067161-01

DARPA # W911NF-05-1-0545