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Indentation unloading phase transformations in silicon: A new perspective

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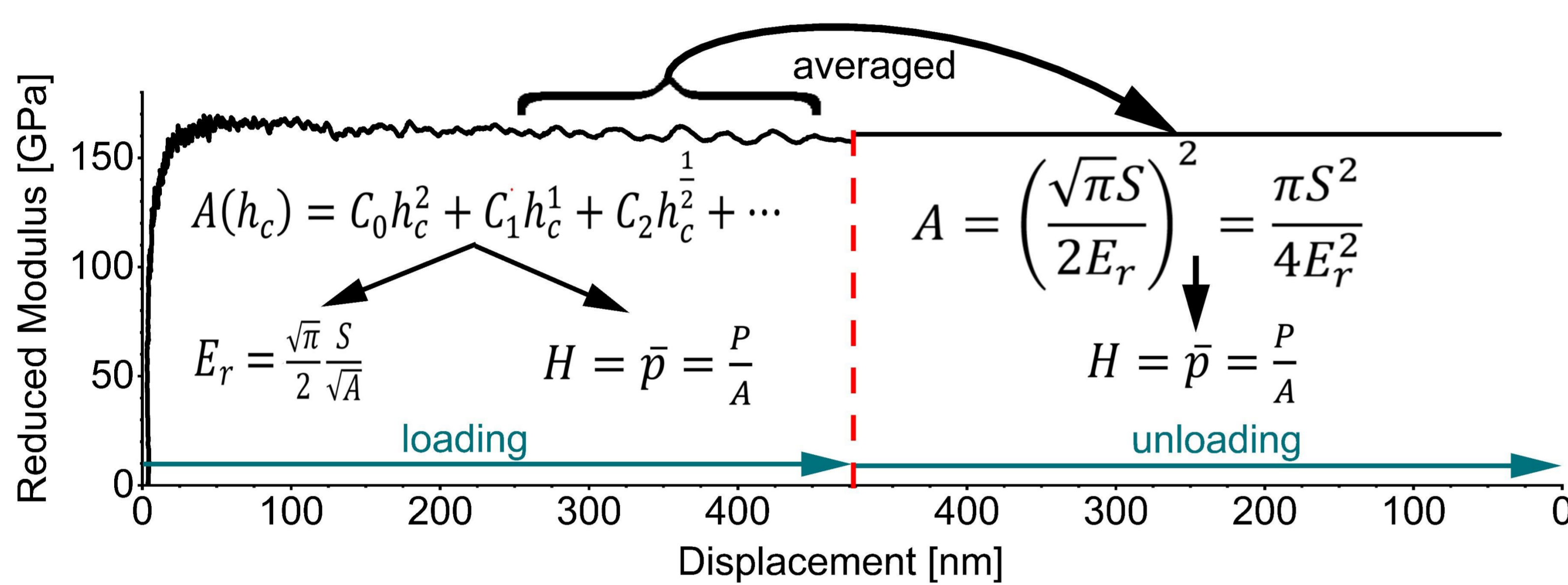
Introduction

The load-displacement curve shows abnormalities during the unloading of indentations in silicon. These abnormalities are linked to phase transformations [1]. Applying dynamic nanoindentation to the unloading segment should, in theory, allow the evaluation of the contact pressures at which such transformations occur. However, these methods are typically designed to only measure mechanical properties during the loading process [2]. The nanoindentation protocol presented in this work aims to make the transformation pressures directly accessible [3]. Furthermore, constant-load holding segments were added during unloading. The impact of such segments on the phase transformations was assessed with Raman spectroscopy.

Symbols/Abbreviations

P load	E_r reduced elastic modulus	CSM continuous stiffness measurement
H_c contact depth	S dynamic contact stiffness	
A contact area	C_i area function fitting constants	
H hardness	\bar{p} mean contact pressure	

Methodology

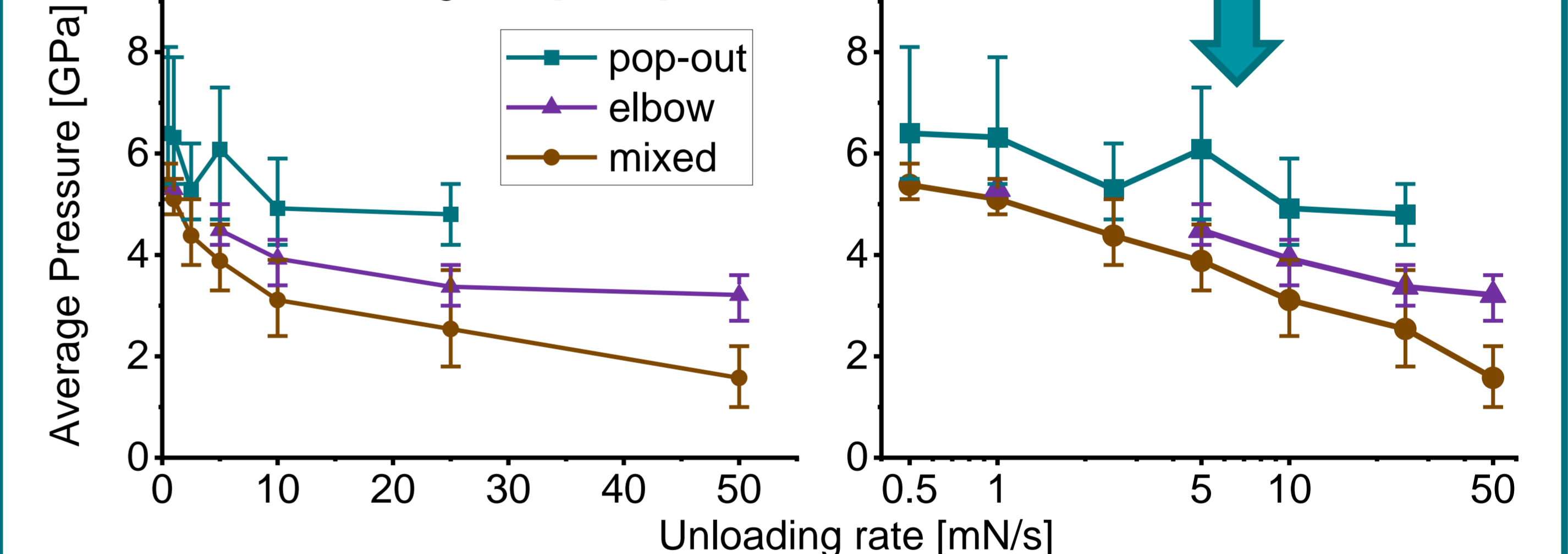
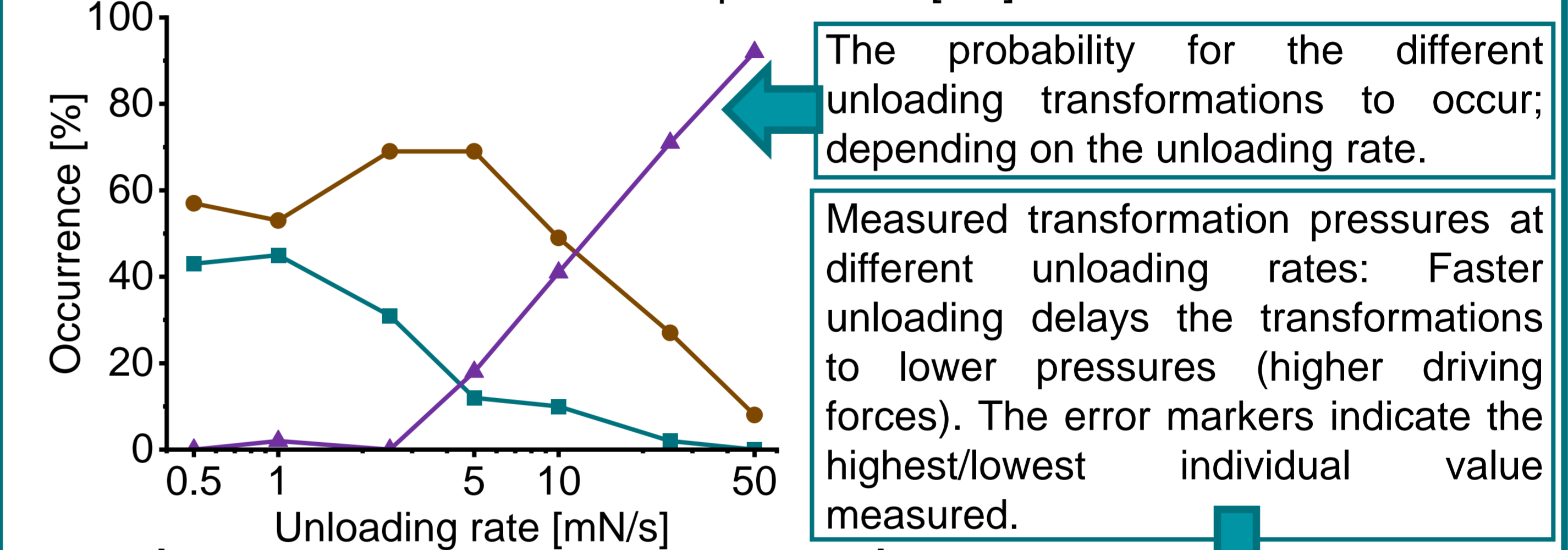
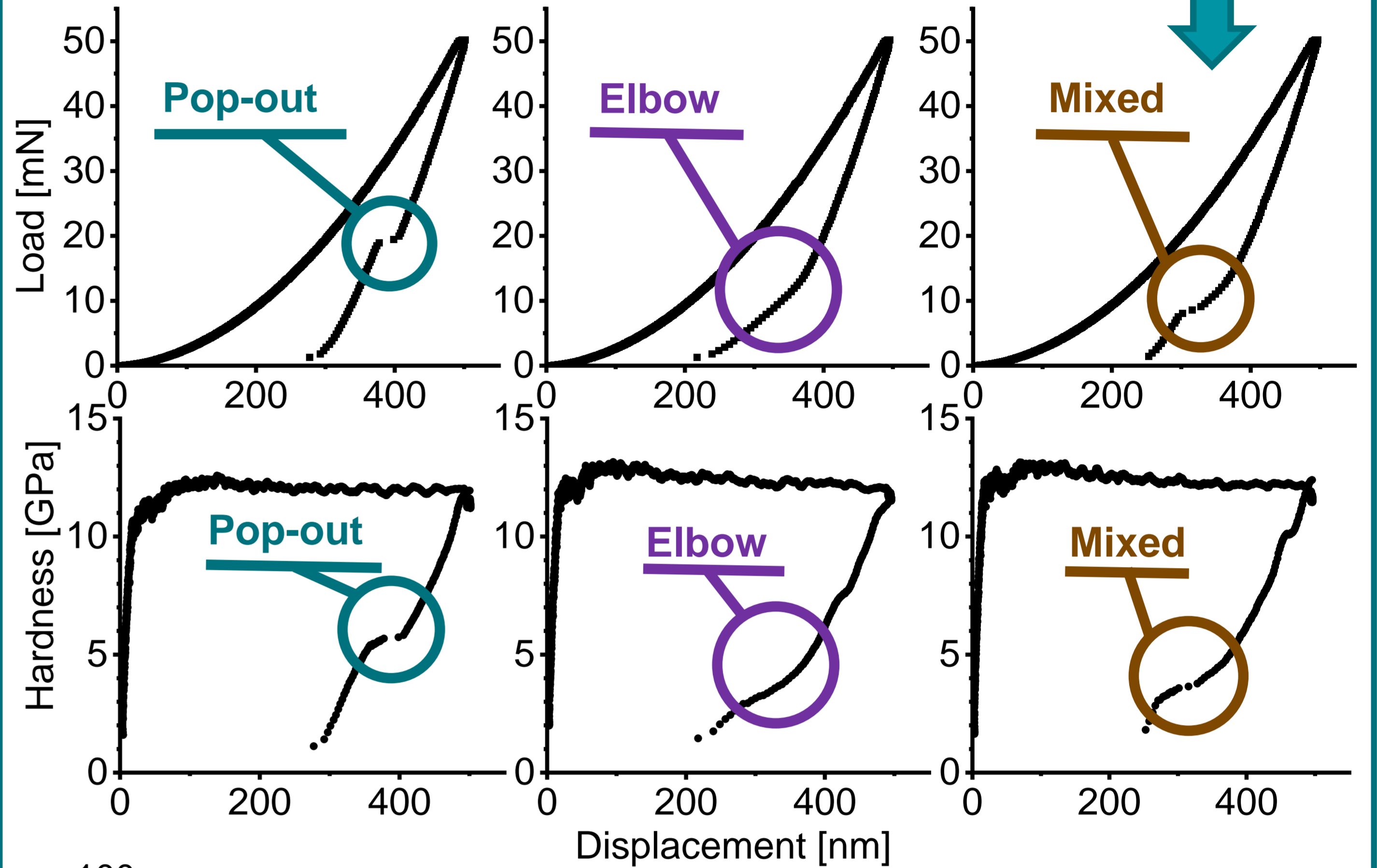
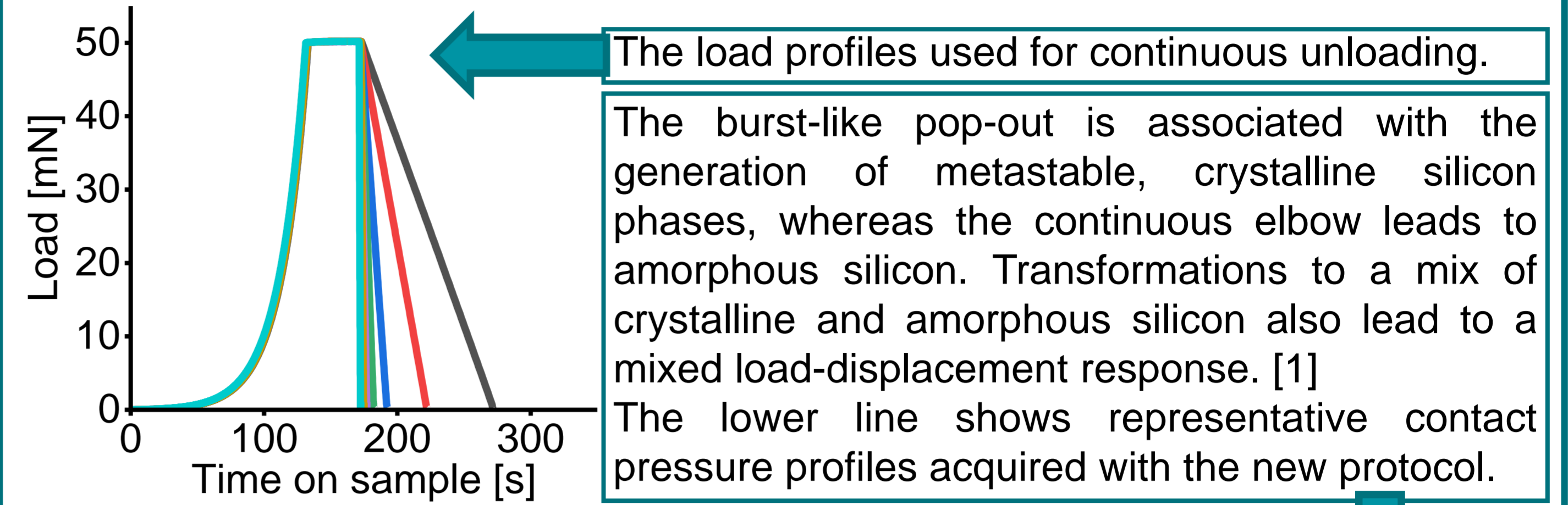


Schematic of the usage of the reduced elastic modulus in the new indentation protocol used to measure the transformation pressures [3]. The area function of the tip holds during loading, and together with the CSM signal, the mechanical properties can be calculated with the Oliver-Pharr formulas [4]. However, during unloading, the area function loses its validity [2]. The approach is now to determine an average reduced elastic modulus during loading. This value is then used to calculate a contact area during unloading. Subsequently, an “unloading hardness” (the mean contact pressure) can be calculated. [3]

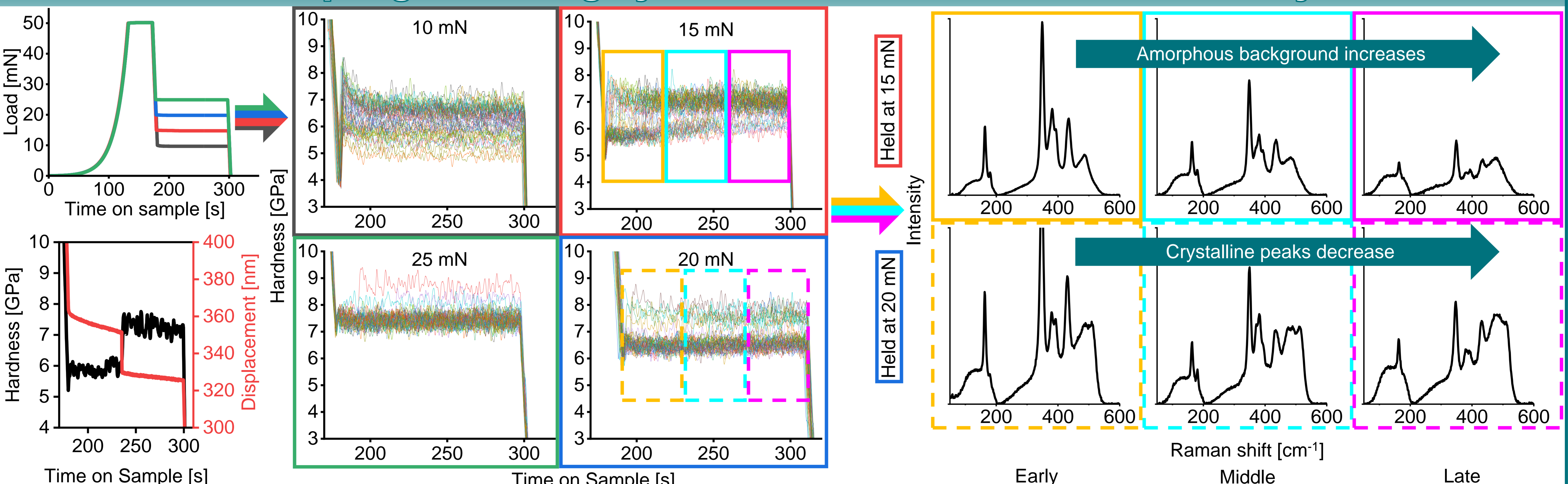
Summary

- Under the assumption of a constant reduced modulus, CSM can be used to calculate the contact pressure during unloading uninterruptedly.
- Continuous unloading experiments show that the transformation pressures are lowered for faster unloading rates.
- Transformations also happen purely time-dependent if the contact pressure (and thus the driving force) is kept constant.
- During this holding process, a continuous amorphous transformation occurs.
- High transformation pressures favor the formation of metastable, crystalline silicon, whereas low transformation pressures lead to amorphous silicon.

Continuous Unloading



Interrupting Unloading by Constant Load Holds + Raman Analysis



Above: used load profiles
 Below: pop-outs (drops of displacement) while holding cause a contact pressure (hardness) increase

Pressure traces while holding: jumps of the contact pressure to the “upper band” indicate a constant load pop-out. Especially for the 15 mN hold, a continuous increase in pressure can be seen for samples that have not exhibited a pop-out yet.

Averaged Raman Spectra of indents after the holding experiments: Early, middle, and late specify when the pop-outs occurred (within the first, middle, or last 40 s of holding, respectively). The continuous increase in contact pressure can be linked to the generation of amorphous silicon (broad Raman bands), whereas the pop-out leads to metastable, crystalline silicon (sharp peaks).